# Mental Health/Disability Services of the East Central Region Transition Plan FY 2015

Geographic Area: Serving the Counties of Benton, Bremer, Buchanan, Delaware, Dubuque, Iowa, Johnson, Jones, Linn

# **Introduction**

The East Central Region (ECR) was formed under Iowa Code Chapter 28E to create a mental health and disability service region in compliance with Iowa Code 331.390. The Transition Plan has been approved by the East Central Region's governing board and is subject to approval by the Director of Human Services. The ECR Transition Plan is available in each local ECR office.

# **Access Points**

An access point is a part of the service system or community that shall be trained to complete the MH/DS funding applications for persons with a disability and forward them to the local ECR county office. Regional staff wants to assure that processes work and adjustments have been made to such processes prior to training additional access points. The region will have training for additional access points completed by February 2015 should the need for them be recognized.

<b>County Office</b>	Address	Phone
Benton County	303 1 <sup>st</sup> Ave Vinton IA 52349	319-472-4743
Bremer County	203 1 <sup>st</sup> Ave NE Waverly IA 50677	319-352-2993
Buchanan County	210 5 <sup>th</sup> Ave NE Independence IA 50644	319-334-7450
Delaware County	601 Grant St Manchester IA 52057	563-927-5116
Dubuque County	720 Central Dubuque IA 52001	563-589-7870
Dubuque County	Hillcrest Family Services	563-582-0145
	200 Mercy Drive Dubuque IA 52001	
Iowa County	495 4 <sup>th</sup> Ave POB 7 Conroy IA 52220	319-662-4245
Johnson County	855 S Dubuque St Suite 202 B Iowa City IA 52240	319-339-6169
Jones County	105 Broadway Plc Ste 2 POB 427 Anamosa IA 52205	319-462-4457
Linn County	1240 26 <sup>th</sup> Ave Court SW Cedar Rapids IA 52404	319-892-5671

# **Targeted Case Management**

The ECR shall offer a choice and access to cost-effective, evidenced-based, conflict-free Targeted Case Management as described in IAC 441-25.21(1)g. Designated Case Management agencies serving the ECR must be accredited by the Department of Human Services. Targeted Case Managers must meet the qualifications as defined in IAC 441.

Targeted Case Management and Service Coordination services shall meet the following expectations:

- Performance and outcome measures relating to the safety, work performance and community residency of the individuals receiving the service
- Standards including, but not limited to, social history, assessment, service planning, incident reporting, crisis planning, coordination, and monitoring for individuals receiving the services
- Methodologies for complying with the requirements of sub rule 441-25.21(1)g, which may include the
  use of electronic recording keeping and remote or internet based training

The ECR has identified and designated the following providers for case management:

- Bremer County Case Management,
- Buchanan County Case Management,
- Delaware County Case Management,
- DHS Targeted Case Management,
- Johnson County Case Management,
- Jones County Case Management,
- Linn County Case Management,
- Southeast Iowa Case Management,
- Other case management programs developed by a county or group of counties in the region.

Area	Provider	Location	Phone
Benton	DHS Targeted Case Management	303 1st Ave Vinton IA 52349	319-472-4743
Bremer	Bremer County Case Management	203 1 <sup>st</sup> Ave NE Waverly IA 50677	319-352-1919
Buchanan	Buchanan County Case Management	210 5 <sup>th</sup> Ave NE Independence IA 50644	319-334-7450
Delaware	Delaware County Case Management	601 Grant St Manchester IA 52057	563-927-5116
Dubuque	DHS Targeted Case Management	799 Main St Ste 340 Nesler Center	563-585-4680
		Dubuque IA 52001-6825	303-363-4060
Iowa	Southeast Iowa Case Management	1509 E Washington St	319-653-6217
		Washington IA 52353	319-033-0217
Johnson	Johnson County Case Management	855 S Dubuque St Iowa City IA 52240	319-339-6169
Jones	Jones County Case Management	105 Broadway Plc Suite 2 POB 427	
		Anamosa IA 52205	319-462-4457
Linn	Linn County Case Management	1240 26 <sup>th</sup> Ave Court SW	319-261-0576
		Cedar Rapids IA 52404	313-201-03/6

## **Service Provider Network**

The ECR is contracting with the currently existing regional service providers to meet the service needs of the individuals served within the region and will develop or assess service needs and the cost related to those services. Changes in service provider network will be evaluated and assessed if a new service is identified or if a provider requests to become part of the network. (Regional Contracted Providers listed on pages 15-24)

# **Service Access and Authorization Process**

The East Central Region values consistency across the region so all customers hear and experience the same thing. The ECR has designated an Intake Coordinator and Service Coordinator to ensure that funding requests and authorizations are processed in a consistent manner. These positions will be responsible for development and implementation of the policies and procedures which are outlined within the ECR Management Plan. These two positions will delegate assigned duties to regional staff as needed to determine eligibility and authorization of services.

See services provided and future outlook for services forecasted on pages 11-14.

# <u>Information Technology and Data Management Capacity</u>

The East Central Region will be utilizing the County Services Network (CSN) through the Iowa State Association of Counties (ISAC). This system is able to process all the data necessary for the ECR. It is the intent that CSN will build the capacity needed to process all data necessary for the East Central Region. Information Technology issues will be handled via necessary associations already established within the counties or through the CSN Support "Ticket" process.

# Business Functions, Funds Accounting Procedures, and Other Administrative Processes

The business functions and administrative processes of the MH/DS of the ECR are fully outlined in either the approved 28E Agreement or the submitted regional management plan. As stated above, the ECR values consistency for all individuals served across the region. One way to ensure this is to place major functions under dedicated regional coordinators.

The ECR has established a table of organization as follows:

#### **Chief Executive Officer:**

- Provides oversight of regional coordinators
- Assures job responsibilities within the region are being completed
- Oversees regional appeals and grievance process
- Authorizes Exception to Policy requests
- Ensures agenda and minutes are prepared for regularly scheduled meetings of the following:
  - Governing Board
  - Administrative Advisory Committee
  - Other groups as developed
- Monitors and interprets pending legislation, analyzes implications, coordinates information with Governing Board
- Provides regional responses as needed
- Functions as the spokesperson to media, DHS, legislators, lobbyists, etc.
- Monitors and promotes activities to support regional goals/objectives
- Provides oversight of regional website
- Works with labor/management where required

#### **Budget Coordinator:**

- Develops the annual budget for the region and ensures submittal to the State as required
- Ensures Interim Assistance Reimbursement process is completed and payments are received
- Develops and implements a budget tracking system and risk management plan
- Collaborates with the Fiscal Agent to complete regular statistical analysis of the region's financial status
- Collaborates with the Fiscal Agent to create timely reports for the Governing Board on financial matters and reviews the report with the Governing Board, the Chief Executive Officer, and advisory committees on a regular basis
- Monitors information within Community Services Network (CSN) for accuracy
- Analyzes Data Warehouse information
- Submits Data Warehouse reports to DHS at the appointed time
- Determines the need for and recommends a waiting list to the Governing Board if needed

#### **Claims Coordinator:**

- Oversees all aspects of the claims process including preparation, adjudication, authorization, payment, and reconciliation for the region
- Establishes and coordinates electronic billing protocol or other viable process with contracted providers for timely review/payment of submitted claims
- Collaborates with the Fiscal Agent to coordinate payment of claims
- Ensures Funding Authorizations correspond with provider claims prior to payment
- Corresponds with Case Manager/Coordinator for changes in funding authorizations
- Runs gueries per request of Governing Board or Chief Executive Officer
- Oversees quality control of claims management system
- Works with providers to resolve any issues pertaining to claims
- Monitors efficiency of claims process and submits recommendations for personnel needs to the Chief Executive Officer

#### **Communications Coordinator:**

- Ensures communication across regional staff
- Develops, implements and supervises the SSI/SSDI Outreach Access and Recovery (SOAR) process
- Acts as assistant to Chief Executive Officer
- Assists with development of regional agenda
- Disseminates data as requested by the Governing Board and/or Chief Executive Officer
- Ensures postings of regional information including agendas and minutes of regional meetings on ECR website
- Assures minutes are printed in the regionally-approved media outlet
- Disseminates updates on state and legislative initiatives and other relevant information

#### **Community Coordinator:**

- Makes recommendation to Advisory Committee, Governing Board, and Management Team regarding need for new services
- Facilitates the development of new services as needed
- Oversees development of the provider network to assure all core services are available and accessible to the defined target populations
- Coordinates with Quality Coordinator to ensure availability of quality services
- Contracts with providers as outlined in the Services Management Plan
- Negotiates provider service rates based on information obtained from designated cost reporting processes

#### **Quality/Compliance Coordinator:**

- Works with individual agencies to meet standards
- Conducts and analyzes client, family/guardian, provider, and quality of life surveys
- Presents results of surveys to Chief Executive Officer and Governing Board
- Collects and prepares data required by State of Iowa and submits to Chief Executive Officer
- Ensures Trauma-informed and Evidence Based Practices are provided as required by the state
- Monitors compliance with all state requirements, including performance targets/outcome measures
- Assesses program effectiveness and recommends improvements
- Ensures training to promote quality performance
- Oversees monitoring of provider network quality and performance

#### **Intake Coordinator:**

- Leads and monitors staff functions for: Intake (application), Data Entry, Eligibility
   Determination (Notice of Decision), and Legal Residency Determination
- Ensures availability of regional access points
- Makes referrals to 3rd party payment sources as needed, including Iowa Health and Wellness Plan
- Refers individuals to Service Coordinator as necessary

#### **Operations Coordinator:**

- Provides personnel coordination: assures sufficient staff in all locations; assures sufficient office space; assures sufficient educational training
- With assistance from other Coordinators and Regional Administrator, prepares and submits the following:
  - o Regional Services Management Plan
  - o Annual Service and Budget Plan
  - Operational Manual
  - Any other manuals/plans/reports requested by DHS
- Ensures HIPAA standards are met across the region
- Prepares and submits Annual Reports to Chief Executive Officer and Governing Board for approval
- Compiles Data Warehouse information and submits to Budget Coordinator
- Develops job descriptions and job assignments for region
- Coordinates with Information Technology to aggregate Regional information
- Oversees and monitors IT/MIS

#### **Service Coordinator:**

- Reviews service utilization and appropriateness of approved services
- Tracks court committals throughout the region
- Researches requests for administrative exceptions and recommends approval or denial to Chief Executive Officer
- Makes decisions regarding funding authorizations
- Leads and monitors staff functions for Service Coordination, including Functional Assessments and Service/Treatment Plans as needed
- Meets regularly with Service Coordinators to review client issues
- Provides Medication Application oversight (PAP/formulary)
- Monitors workloads and submits recommendations for personnel needs to the Chief Executive Officer
- Makes recommendations regarding necessity for new services to Community Coordinator

The ECR conducted a Request for Proposal (RFP) for a Fiscal Agent. Jones County was designated as the Fiscal Agent and awarded the agreement to provide the fiscal agent services needed for one year commencing on July 1, 2014. This agreement can be renewed or extended dependent upon agreement by both parties. The Fiscal Agent will be responsible for the accounting procedures and the fiscal reports required by the Department. An annual audit will be conducted. Full detail can be found within the MH/DS of the East Central Region Fiscal Agent Agreement or the 28E Agreement for the counties encompassing the East Central Region.

The ECR filed a Mental Health Liability Application with an Iowa Community Assurance Pool agent on April 14, 2014.

The ECR has researched the need for a regional attorney. The decision was made to use individual county attorneys or designee when appropriate. When a conflict or conflict of interest is indicated, an outside attorney will be secured for legal counsel.

The ECR has determined that the minutes of the Regional Governing Board will be published within the Cedar Rapids Gazette.

# <u>Data Reporting Compliance and other Information</u> <u>Technology Requirements Defined by the Department</u>

The East Central Region plans to use the reporting features of the County Community Services Network (CSN) for the Data Compliance and Information Technology requirements that may be established by the Department of Human Services within the next fiscal year. As requirements change, the ECR will keep current with the new requirements set out by the Department of Human Services. The lowa State Association of Counties (ISAC) has provided a description of the security and technology within CSN. The functionality is the ability of each identified user within the ECR to input data into the system to allow the processing of information from the entry of demographics to the payment of claims for services rendered.

ISAC's description of the County Community Services Network follows.

#### **Reporting Requirements**

Our region is using CSN which is a statewide data repository containing all disability information of individuals being served by the regions. The data collected presently includes data needs identified by the regions and all data required by DHS for the annual compliance reports. If additional data is needed outside of this, ISAC IT and regional representatives must be closely involved as DHS identifies the data needs. ISAC IT will enhance the system to accommodate the defined requirements as directed by the ETC Advisory Committee.

#### **Technical Specifications**

- ASP.NET (primarily VB some C#. 3 tiered development methodology)
- SQL2012 (houses all CSN data)
- BizTalk 2009 (health information/claims clearing house)
- Cisco ASA 5505 Firewall
- SSL encryption

Third Party Tools integrated within CSN's security model

- ABCPDF (for document generation)
- SQL Reporting Services (for dynamic auditor voucher generation)
- Izenda for AdHoc Reporting

#### Security

#### Hardware

- o The production servers are housed in an offsite HIPAA certified data center
  - Access is given to two ISAC employees at a time.
  - The production servers consist of one database server and one web server.
  - Each server is plugged into a firewall/ Each server has a software firewall on it.
  - Data is backed up nightly both by the data center and by a secure third party.
  - Both backups are stored offsite.
  - Backup restore protocols are in place for IT employees.
- The 2 development servers are currently housed at ISAC with the plan to move them to the data center within FY15.
  - ISAC has two entrances. One of which is locked at all times and the other is locked no later than 4:30 in the afternoon.
  - The server room at ISAC is locked with limited access to IT employees
  - ISAC IT support has administrative rights to these servers

#### **Software**

- First Level Security
  - The system's login security model uses an API to communicate securely with lowa's Enterprise A&A system. This is system allows for single sign-on among many state applications.
  - CSN does not store any user passwords or perform any login functionality other than to check if the user is active in CSN.
- Second Level Security
  - Once a user has logged in via A&A the system will confirm they are active within CSN
  - The administrator of the user's region or county is able to set a user's account to inactive even if their A&A account is active
  - If a user has not logged on for 6 months their account is automatically inactivated.
- Third Level Security

- Each user has one or multiple profiles within the system. Profiles are specific to a county or a region.
- Within each given profile the user is assigned roles that fit their job and security level. This
  ensures the user has limited access to data and functionality.

#### Users

• In addition to the previous security restrictions, all users must electronically agree to a confidentiality agreement prior to using the system for the first time. This agreement must be renewed a annually to maintain access to CSN.

#### o Reporting

Users accessing the AdHoc reporting module are required to accept an agreement monthly stating that the information they are accessing is confidential and is not to be disseminated without the proper permission and review. Only users approved by the administrator and ISAC IT have access to the reporting module at this time.

#### o Client

- Users are not allowed to view identifying details on a client when performing a search unless they are directly associated as an active case worker for the client or the county is associated with the client in a pre-defined role.
- If a user wishes access to a client they are required to send a request within the system to the client's regional authority. They are also required to provide a reason for needing access and to indicate if the request relates to payment or treatment. The person within the entity the user is requesting access from is required to indicate acceptance or denial within the system.
- If a county is a 'county of interest' for a client that role is automatically removed after 90 days. If the county needs access to the client for an additional period of time they must again submit a request for access within the system.
- Only clients associated with a user are shown on a user's dashboard. This applies across the system. Clients may not be accessed in any other way.

County Community Services Network (CSN)

#### Mental Health | General Assistance | Substance Abuse | Case Management | ETC

The CSN software package includes the following functionality; Client Management (PHI), Provider Management, Service Authorizations, Electronic Claims Filing and Processing, Targeted Case Management, Case Management Electronic Billing, Reporting, Financials and Budgeting, Entity Profiles, User profiles, Extensive role based security, Flexible Entity Access, AdHoc Reporting, and an Electronic Clearing House. Currently 98 counties and, approximately 40 case management agencies use CSN to manage their business. There are 500 users and over 200,000 clients.

#### **Functionality**

Client Management

Demographics

Medical and Prescription drug Information

**Provider Management** 

Authorizations for Service

Claims

Electronic claims

Adjudication against Funding Authorizations and other requirements

Multi-step review process

Voucher & Remittance Advice Generation

Electronic submission to the Auditor's Accounting Software & Reconciliation

#### Case Management

**Service Authorizations** 

Management of Goals and Outcomes

PDF Form generation as mandated by Iowa Code

**Extensive Client Contact tracking** 

**Quality Review** 

Electronic Billing & Receivables

#### Reporting

AdHoc Reporting (July 1)

Canned reports

State Compliance reporting

#### **Financials**

Flexible Budgeting & Revenue Tracking & Reports

Custom General Ledger codes per Entity

#### User profiles

Extensive security based on HIPAA regulations (this is expanding)

Flexible Entity Access

County, region or provider (limited) based

Users may be affiliated with multiple entities and providers

We also maintain an electronic clearing house for our providers.

#### **Contact Information:**

#### **Jeanine Scott**

**CSN Program Manager** 

Iowa State Association of Counties

5500 Westown Parkway, Suite 190

West Des Moines, Iowa 50266

515-244-7003

Email: jscott@iowacounties.org

# **System of Care Approach**

The ECR will follow a process as services are added across the region.

- **Develop committee:** The ECR plans to send out open invitations and facilitate or arrange facilitation of meetings for anyone who is interested in the conversation for issues related to the system of care. The ECR will make prior visits to NAMI and Drop in Centers to encourage participation. The ECR will also reach out to families and peers by word of mouth and through agencies and will specifically reach out to law enforcement. The goal is for all to build the system collaboratively.
- **Assess need:** The needs will be different across the region. Some areas of the region have services in place while we need to expand or enhance services into other areas of the region. In other instances new services will be built across the region.
- **Develop vision:** ECR members will build the system with an emphasis on multi-occurring and trauma informed services, collaboration among providers, workforce training, individual satisfaction, continuity, cost effectiveness and outcomes.
- **Develop model(s):** The committees will develop model(s) for new services.
- **Public Forum/Comments:** Previously, counties have tended to develop services on available funding or needs perceived by providers leading to system fragmentation. The ECR wants to assure that families and individuals receiving services are encouraged and assisted to participate in evaluation of proposals.
- **Regional Advisory Committee Approval:** The Regional Advisory Committee will make a decision to pass the proposal(s) on to the Regional Governing Board.
- 7 Regional Governing Board: The Regional Governing Board will make the final decision.
- **Implementation:** The ECR will fund the service.
- **Assessment:** The committees will convene to look at outcomes and make adjustments or changes.

Please see the planned schedule for developing or enhancing services below.

Schedule for System of Care Building Activities																										Ŧ
E=Existing			-								Н	+					_	+		H		-		+		+
Exp=Expand to cover all counties																		+								+
Core Services	JU 14	А	S	0	N	D	JA 15	F	М	Α	М.	J.	Ju 15	Α	S	0	N [	D 1	A 16	F	М	А	М	J J	u 16	A S
a. Treatment: Community Support Programs				EXP	1	2	6	7																		
b. Basic Crisis Response: 24-hour Access to Crisis Response	E																									
c. Basic Crisis Response: Evaluation	E										Ц															
d. Basic Crisis Response: PERS	E																									
e. Commit Related (Evals, Sher Tran, Leg Rep, MHAs)	E																									
f. Support for Community Living: Home Health Aide	E									)																
g. Support for Community Living: Home & Vehicle Mod	E																									
h. Support for Community Living: Respite	1	2		3		4			5		6		7	8												
i. Support for Community Living: SCL (Daily)				EXP								1														
j. Support for Community Living: SCL (Hourly)	E																									
k. Support for Community Living: Transportation				EXP																						
I. Support for Community Living: Rent Assistance	E					/																				
m. Support for Employment: Day Habilitation	E																									
n. Support for Employment: Job Development	E																									
o. Support for Employment: Supported Employment	E																									
p. Support for Employment: Prevocational Services			1		2		3		4		5		6		7		8									
q. Recovery Services: Family Support						1		2		3	4	5	6		7	8										
r. Recovery Services: Peer Support				1	2	3		4	5	6		7	8													
s. Service Coordination: Case Management	E																									
t. Service Coordination: Service Coordination	E				/																					
u. Service Coordination: Health Homes	E																									

# **Quality Assurance Measures**

#### **Process for Evidence Based Practices Implementation**

- 1. Identify existing provides who meet the fidelity measures: ECR has identified 2 providers in the region who meet Evidence Based Practices fidelity measures. One is an SCL provider and the other is a vocational provider. We are also assuming the ACT programs in Linn and Johnson will meet the criteria but we are waiting for a final ruling regarding who will do the measurement, the region or Magellan.
- 2. Work with community planning group to plan training for providers to develop EBP in their organizations. The region will facilitate a committee to discuss and decide how training will be implemented across the region. We know that different training modalities may be necessary across the region. We have identified a person with expertise in various training platforms and will utilize her skills. We also have identified some online training, different experts and small group training opportunities to offer to the planning committee.
- **3.** ECR staff will work with existing providers to determine the changes that would be required to meet **fidelity.** ECR staff will do this by meeting with providers, performing a brief assessment to determine where they fall with respect to the fidelity scale and brainstorming ways to meet the fidelity measures.
- 4. ECR will provide or facilitate training and support to existing providers to assist in meeting fidelity.
- **5.** ECR staff will assess, identify and implement incentives for two areas of EBP as identified by the committee. ECR will provide incentives to help providers move toward becoming EBP providers.
- 6. ECR staff will meet with providers who believe they will now meet the fidelity measures and assess.
- 7. ECR will provide results to the public to assist in service provider selection.

Time line for Evidence Based																			
Practices Implementation	Jun-14	J	Α	S	0	Ν	D	Jan-14	F	М	Α	М	J	Jul-15	Α	S	0	N	D
Assertive Community Treatment		2	3						4	5	6			7			Re	peat	
Family Psycho-education		2						3		4	5	6		7			Re	peat	
Illness Management and Recovery		2					3	4	5			6		7			Re	peat	
Integrated Treatment for Co- occurring disorders		2			3				4		5		6	7			Re	peat	
Permanent Supportive Housing	1	2	3			4		5		6				7			Re	peat	
Supported Employment	1	2		3			4	5	6					7			Re	peat	
Trauma Informed Care		2				3		4	5		6			7			Re	peat	

#### **Data Collection Process**

- 1 Establish data collection system
- 2 Collect baselines
- 3 Collect data
- 4 Analyze data
- 5 Analyze proposed change
- 6 Implement change

	Jun-14	ı	Α	c	O	N	ח	Jan-14	-	М	Λ	М	1	Jul-15	: 🔼	c	0	N	D
	Juli-14	J	A	3	U	IV	U	Jan-14		IVI	А	IVI	J	Jui-13	A	3	U	IV	
Evidence Based Practices						1	2	3			4	5	6						
Service Distribution		1	2					3				4	5	E	5				
Provider Partnerships		1	2			3					4	5	6						
Individual Satisfaction		1									3	4	5						
Appeals											3	4	5						
Corrective Action Plans											3	4	5						
Cost effectiveness												1	2		4		5		
DHS Performance Measures	ТВА																		
Community Identified Performance m	easures	1						2							3	4	5		

# **Regional Contracted Providers**

## **Benton County**

#### • Cedar Valley Ranch

2591 61st Street Ln. Vinton IA 52349 Lorene Spencer 319-472-2671

#### **Bremer County**

#### • The Larrabee Center

117 11<sup>th</sup> St NW Waverly IA 50677 Clark Wilharm 319-352-2234

#### • Covenant Clinic Psychiatry

217 20<sup>th</sup> St NW PO Box 857 Waverly IA 50677 Monique Walters 319-352-9606

#### • North Star Community Services

219 20<sup>th</sup> St NW Waverly IA 50677 Colette Ruth 319-352-1425

#### • Community Based Services

403 3<sup>rd</sup> St SE Waverly IA 50677 Lindley Sharp 319-352-2990

## **Buchanan County**

#### • B&D Services

212 1st St E Independence IA 50644 Julie Schwarting 319-334-6997

#### (Buchanan County continued)

#### • Behavioral Services

105 Main St N Hazleton IA 50641 Kimberly Gamm 319-636-2100

#### • Counseling & Mediation Center

116 5th Ave NE PO Box 92 Independence IA 50644 Chidi Ojinnaka 319-332-0151

#### • Counseling & Assessment Services

515 2nd St NE Independence IA 50644 George Harper 319-334-6820

#### • Darrell E. Davis Adult Day Center

204 2nd St SW Independence IA 50644 Jaci Weber 319-334-2401

#### • Full Circle Services

2349 Jamestown Ave Ste 1 Independence IA 50644 Red Brickman 319-334-4341

#### Wapsi Valley Family Counseling, LLC

309 1st St E, Ste 4 Independence IA 50644 Jacquelyn Schultz 319-361-4867

#### **Delaware County**

#### • Penn Center

2237-245<sup>th</sup> St. Delhi IA 52223 Diane Brecht 563-922-2881 563-922-2003 fax

#### **Dubuque County**

#### • Medical Associates

Mercy Drive-Suite 201 Dubuque IA Janet Bales 563-584-3520 563-584-3520 fax

#### (Hillcrest joint with Johnson and Linn Co.)

#### • ARC (Area Residential Care)

3355 Kennedy Circle Dubuque IA 52002-3860 Jon Romaine 563-556-7560

#### • <u>Dubuque County Jail Diversion</u>

(agreement/contract)
Dept. of Corrections 1<sup>st</sup> Judicial District

#### • Mercy Medical Center

Mercy Drive Dubuque IA 52002 563-589-8205

#### **Iowa County**

#### • Rural Employment Alternatives

495 4th Street Conroy IA 52220 Jeannine Scandridge 319-662-4043

#### • Builders of Hope

2711 Muscatine Ave lowa City IA 52240 Joshua Weber 319-936-3548

#### **Johnson County**

#### • The Arc of Southeast Iowa

2620 Muscatine Ave. Iowa City IA 52240 Karen DeGroot 319-351-5017 319-351-6837 fax

#### • Chatham Oaks

4515 Melrose Ave. Iowa City IA 52246-9400 Vivian Davis 319-887-2701 319-887-9154 fax

#### • Community Mental Health Center for Mid-Eastern Iowa

507 E. College Street lowa City IA 52240 Stephen Trefz 319-338-7884 319-248-0431 fax 319-330-8633 Cell

#### • Builders of Hope

2711 Muscatine Avenue Iowa City IA 52240

#### • Goodwill of the Heartland

1410 South First Avenue PO Box 1696 lowa City IA 52244 Pat Airy, Director & CEO Carmen Heck 319-337-4158 319-337-7369 fax

#### (Johnson County continued)

#### • Hillcrest Family Services (and Dubuge)

2005 Asbury Road Dubuque IA 52001 563-588-0605 Toll Free - 877/437-6333 449 Highway 1 West Cindy Hess Iowa City, IA 52246 319-337-4204 319-341-3333 fax

#### • Mayor's Youth Empowerment Program

407 Highland Court PO Box 307 Iowa City IA 52244 Roger Lusala 319-341-0060 888-883-1235 fax

#### • Pathways/Pentacrest

817 Pepperwood Lane Iowa City IA 52240 Jeff Kellbach 319-339-6162 319-339-6164 fax

#### • Reach for Your Potential

1705 South 1st Avenue, Ste#1 lowa City IA 52240 Ron Schieffer 319-354-2983 319-354-3221 fax

#### • REM (Johnson and CR)

402 Westcor Drive, Unit A Coralville IA 52241 Patrick Costigan 319-545-1227 319-545-1237 fax

#### • Social Outreach Services

1224 S Gilbert Street lowa City IA 52240 Florence Ejiwale 319-855-2744

#### (Johnson County continued)

#### • Successful Living

2406 Towncrest Drive Iowa City IA 52240 Roger Goedken 319-358-6800 319-358-6807 fax

#### • Systems Unlimited

2533 S. Scott Blvd. Iowa City IA 52240 Casey Westhoff 319-338-9212 x135 319-341-9443 fax

#### • University of Iowa Hospital & Clinics

Clinical Outreach C 506 GH 200 Hawkins Drive lowa City Iowa 52242 Stephen C. Blanchard 319-356-1348 319-356-2587 fax

## **Jones County**

#### • Advancement Services

202 Plastic Lane Monticello Iowa Cindy Hanken 319-465-5991 319-465-6805 fax

#### **Linn County**

#### Abbe Center

520 11<sup>th</sup> Street NW Cedar Rapids, IA 52405 Cindy Kaestner 319-398-3562 319-398-3501

#### • Aging Services, Inc

317 Seventh Ave SE, Suite 302 Cedar Rapids, IA 52401 Kathy Horan 319-398-3644 319-286-1967

#### • ARC of East Central Iowa

680 2<sup>nd</sup> Street SE, Suite 200 Cedar Rapids, IA 52401 Delaine Petersen 319-365-0487 319-365-9938

#### • ASAC, Inc – SA Treatment Beds

3601 Sixteenth Avenue SW Cedar Rapids, IA 52404 John Garringer 319-390-4611 319-390-4381

#### • Assoc. for Behavioral Hlthcare

1510 Boyson Road Hiawatha, IA 52233 Wilma Mehring 319-396-1066 319-396-8779

#### • Aubey & Enzle, LLP

4403 First Avenue SE, Suite 512 Cedar Rapids, IA 52402 Dr. Enzle 319-362-3720 319-862-1748

#### • Crest Services – American Baptist

2720 First Avenue NE, Suite 102 Cedar Rapids, IA 52404 Mary Wise 319-364-6774 319-364-3925

#### • Derfus, Richelle

1073 Rockford Road SW Cedar Rapids, IA 52404 319-936-7008 319-364-0601

#### Discovery Living

1015 Old Marion Road NE Cedar Rapids, IA 52402 Bob Hebl 319-378-7470 319-395-6682

#### • DREAMS Inc

4404 First Avenue SE Cedar Rapids, IA 52403 Melissa Miller or Betty King 319-654-7309

#### • Foundation 2

1714 Johnson Avenue NW Cedar Rapids, IA 52405 Barb Gay 319-362-1170 319-297-7406

#### • Goodwill Ind. of the Heartland

1441 Blairs Ferry Road NE Cedar Rapids, IA 52402 Pat Airy 319-739-5000 319-393-8935

#### Hillcrest Family Services

2005 Asbury Rd Dubuque, IA 52001 Cindy Hess 563-583-7357 563-583-7026

#### • Horizons, A Family Svc Alliance

819 5<sup>th</sup> Street SE Cedar Rapids, IA 52401 Molly Gansen 319-373-8987 888-632-7914

#### <u>Linnhaven Inc.</u>

1199 Blairs Ferry Road PO Box 284 Marion, IA 52302 Elaine Sweet 319-377-9788 319-377-7641

#### • Living Center East-New Horizons

1220 5<sup>th</sup> Avenue SE Cedar Rapids, IA 52403 Gina McHugh 319-366-8701 319-366-8702

#### • Mercy Medical Center

701 10<sup>th</sup> St SE Cedar Rapids, IA 52403 Scott Lindsley 319-398-6750 319-398-6957

#### • Nelson, Trish

208 Collins Road NE, Suite 201 Cedar Rapids, IA 52402 319-364-4822 319-337-6563

#### • O'Leary, Mindy MSW LISW

1021 First Avenue SW Cedar Rapids, IA 52405 319-360-5041

#### • Recover Health of Iowa

700 First Avenue NW, Suite 101 Cedar Rapids, IA 52402 Jennie Fisher 319-373-6294 319-373-6298

#### • REM Iowa Community Svc

1661 Boyson Squire Drive, Suite 202 Hiawatha, IA 52233 Patrick Costigan 319-929-0004 319-929-2091

#### • REM Iowa Developmental Services

1661 Boyson Square Drive, Suite 202 Hiawatha, IA 52233 Sara Drish 319-393-1944 319-393-2091

#### REM lowa, Inc.

1661 Boyson Square Drive, Suite 202 Hiawatha, IA 52233 Dawn Steffen 319-393-1944 319-393-2091

#### • Richardson ARNP, PC, Kristen

1231 Park PI NE Park Place Center Building II, Suite Falcon Cedar Rapids, IA 52402 319-521-4716

#### • St. Lukes Methodist Hospital

1026 A Avenue NE PO Box 3026 Cedar Rapids, IA 52406 Kent Jackson 319-369-8356 319-368-5691

#### • Systems Unlimited

2533 Scott Blvd S lowa City, IA 52240 Michelle Lloyd 319-338-9212 319-337-9073

#### • Tanager Place

2309 C Street SW Cedar Rapids, IA 52404 Linda Anderson 319-365-9164 319-365-6411

#### • The Sixth District DOC

1051 29<sup>th</sup> Avenue SW Cedar Rapids, IA 52404 Malinda Lamb

#### • Therapy Solutions

3315 First Avenue SE Cedar Rapids, IA 52402 Angie Nowak 319-861-3322 319-861-3326

# MENTAL HEALTH/DISABILITY SERVICES OF THE

#### **EAST CENTRAL REGION**

## **RELEASE OF INFORMATION**

INDIVIDUAL'S FULL NAME SOCIAL SECURITY # XXX-XX	DATE OF BIRTH STATE ID #	
ADDRESS OF INDIVIDUAL USING SERVICES		
I, the undersigned, hereby authorize MH/DS East Central Region indicated below, regarding the above named individual using se	ion staff to release and/or obtain verbal, electronic, or written information services, with:	
Name of Person or Agency		
Complete Mailing Address		
The information being released will be used for the following pu  Planning and implementation of my Individual Comprehens  Coordination of Services	sive Plan Referral for new services	
☐ Monitoring of Services	Other (specify)	
INFORMATION TO BE RELEASED OR OBTAINED:  Yes No  Medical/Health/Dental Hospital (specify dates) Psychiatric Psychological Educational Vocational Legal	Assessment Social History Service/Treatment Plans Progress Reporting Re-Release of 3 <sup>rd</sup> Party Info (specify)	
any time by sending a written notice to MH/DS East Central R Anamosa, IA 52205. I understand that any information release not constitute a breach of my rights to confidentiality. I und	ent, I understand that this consent is voluntary and I may revoke this con- Region, Attn: In-Take Coordinator, 105 Broadway Place, Suite 2, PO Bo- ed prior to the revocation may be used for the purposes listed above, an inderstand that any disclosure of information carries with it the poten sed, it may no longer be protected by federal privacy regulations. I under ase worker.	ox 247, d does tial for
	failure to provide access to information necessary to determine eligibling. This authorization will expire one year after the date it is signed,	
SPECIFIC AUTHORIZATION FOR RELEASE OF INFORMATION release of data and information relating to Mental Healt	TION PROTECTED BY STATE OR FEDERAL LAW. I specifically author:	norize
Signature of individual, parent (if minor), or legal guardian	Date	
SPECIFIC AUTHORIZATION FOR RELEASE OF INFORMATI I specifically authorize the release of data and information relati (in order for this information to be released, you must sign here  Substance Abuse (to be signed only by the Individual Usin	ting to: e and above)	
Gubstance Abuse (to be signed only by the individual USII	ing services)   niv-related information	
Signature of Individual Using Services Date	Guardian Signature Date	
Copies: Date: Individual/Guardian	Agency File	

# MH/DS of the East Central Region Application Form For individuals living in: Benton, Bremer, Buchanan, Delaware, Dubuque, Iowa, Johnson, Jones, and Linn

Application Date:		Date Received by O	ffice:	
First Name:	Last Name:		M	l:
Nickname:	Maiden Nan	ne:		
Date of Birth:	SSN#			
Race: American Indian Asian/	Pacific Islander Blac	k/African American	Other	Unknown White
Sex: Male Female US Citize	n: No If you	ı are not a citizen, aı	re you in the coun	try legally? Yes No
Marital Status: Single Marri	ed Divorced Se	parated Widow	ed Primary Lang	uage:
Legal Status: Voluntary Invo	luntary-Civil 🔲 Involu	ntary-Criminal	Probation Paro	leJail/Prison
Are you considered legally blind?	Yes No If yes, w	hen was this determ	ined?	
Home Phone:	Cell:		May we	leave a message? Yes No
Current Address:				
Stree	et	City	State Z	ip County
Begin Date at this address: Use as current Mailing Address:	Yes No If not, _	_		
Previous Address				
Street	C	City Sta	te Zip	County
Begin Date	End Date	7.000		
Living Arrangement: Alone				
Current Residential Arrangement:				•
Homeless/Shelter/Street	Residential Facility, ty	rpe:	Othe	r:
<b>/eteran Status:</b> ☐Yes ☐No <b>Branc</b>	h & Type of Discharge:		Dates of	Service:
Current Employment: (Check applicated	vork Unemp Retired Shelter	red Work Employme nally Employed	nt!	Employed, Full time Student Supported Employment Armed Forces Other
Current Employer:			Position:	
Dates of employment:		_ Hourly Wage:	Но	ours worked weekly:
imployment History: (list starting wit	h most recent to previo	ous)		
Employer	City, State	Job Title	Duties	s To/From
1.				
2.				
ducation: What is the highest level	of education you achie	ved? # of years:	Degre	e/GED:
mergency Contact Person: Name:			Relationshi	p:
Address:		Phone:		
Current Service Providers: Name		Location		
1				
2.				

Legal Guardian Conservator (Please check those that apply & wri	te in name, address, etc.)	(Please check those tha	Legal Guardian Conservator Protective Payee (Please check those that apply & write in name, address, etc.								
Name:			Name:  Address:  Phone:								
Address:		Address:									
Phone:		Phone:									
ist All People In Household:											
Name	Age	Relationship	Social Security Number								
1.											
2.											
3.											
4.											
5.											
NCOME: Proof of income may be requ											
f you have reported no income below,	how do you pay your bills	s? (Do not leave blank if no i	ncome is reported!)								
ross Monthly Income (before taxes):	<b>Applicant Amount:</b>	Others in Housel	hold Amount:								
] Employment Wages											
Social Security											
] SSI											
SSDI											
Veteran's Benefits											
Child Support											
FIP											
Pension											
Public Assistance/General Assistance											
Workers Comp		_ \ \									
Private Relief Agency											
Family/Friends											
Other:											
Total Monthly Income:		<u></u>									
		<del></del>									
busehold Resources: (Check and fill in	amount and location):										
ousehold Resources: (Check and fill in Type	amount and location):  Amount	Bank, T	rustee, or Company								
·		Bank, T	rustee, or Company								
Туре			rustee, or Company								
Type Trust Funds	Amount		rustee, or Company								
Type ] Trust Funds ] Dividend Interest	Amount		rustee, or Company								
Type  Trust Funds  Dividend Interest  Stocks/Bonds  CD's	Amount		rustee, or Company								
Type Trust Funds Dividend Interest Stocks/Bonds	Amount		rustee, or Company								
Type Trust Funds Dividend Interest Stocks/Bonds CD's Burial Fund/Life Ins. (cash value)	Amount		rustee, or Company								
Type Trust Funds Dividend Interest Stocks/Bonds CD's Burial Fund/Life Ins. (cash value) Cash Checking	Amount		rustee, or Company								
Trust Funds Dividend Interest Stocks/Bonds CD's Burial Fund/Life Ins. (cash value) Cash Checking Saving	Amount		rustee, or Company								
Type  Trust Funds  Dividend Interest  Stocks/Bonds  CD's  Burial Fund/Life Ins. (cash value)  Cash  Checking  Saving  Retirement Fund (non-accruing)	Amount		rustee, or Company								
Type  Trust Funds  Dividend Interest  Stocks/Bonds  CD's  Burial Fund/Life Ins. (cash value)  Cash  Checking  Saving	Amount		rustee, or Company								
Type  Trust Funds  Dividend Interest  Stocks/Bonds  CD's  Burial Fund/Life Ins. (cash value)  Cash  Checking  Saving  Retirement Fund (non-accruing)  Other  Total Resources:	Amount										
Type Trust Funds Dividend Interest Stocks/Bonds CD's Burial Fund/Life Ins. (cash value) Cash Checking Saving Retirement Fund (non-accruing) Other	Amount	Estimated v	ralue:								

Have you sold or given away any property in the last five (5) years?   Yes   No   If yes, what did you sell or give away?
Primary Carrier (pays 1st)   Secondary Carrier (pays 2nd)     Applicant Pays
Primary Carrier (pays 1st)    Applicant Pays   Medicaid   Family Planning only   Applicant Pays   Medicaid   Family Planning only   Medicare A, B, D   Medically Needy   Medicare A, B, D   Medicare A, B, D
Applicant Pays   Medicaid   Family Planning only   Medicare A, B, D   Medically Needy   Medicare A, B, D   Med
Medicare A, B, D   Medically Needy   No Insurance   Private Insurance   Medicare A, B, D   Medically Needy   No Insurance   Private Insurance   Private Insurance   Medicare A, B, D   Medicare A, B, D   Medicare A, B, D   No Insurance   Private Insurance   No Insurance   Private Insurance   Private Insurance   Medicare A, B, D   Medi
No Insurance
Company Name Address Address Address Policy Number:    Company Name Address Address Policy Number:   (or Medicaid/Title 19 or Medicare Claim Number)
Address
Policy Number:
Cor Medicaid/Title 19 or Medicare Claim Number   Start Date: Any limits? _ Yes _ No   Spend down: Deductible: No   Spend down: No   Spend down: Deductible: No   Spend down: Deductible: No   Spend down:
Cor Medicaid/Title 19 or Medicare Claim Number   Start Date: Any limits? _ Yes _ No   Spend down: Deductible:
Start Date: Any limits? _ Yes _ No _ Spend down: Deductible: No _ Deductible:
Referral Source: Self Community Corrections Family/Friend Social Service Agency Targeted Case Management Other Case Management Other  Have you applied for any of the public programs listed below? (Please check those you have applied for and the status of your referral) Has your application been Approved or Denied? If denied and you appealed, what is the date of appeal Have you applied for reconsideration. Have you had a hearing with an Administrative Law Judge and what was the date of the scheduled hearing:  Social Security SSDI Medicare  Weterans Medicaid DHS Food Assistance:  Unemployment FIP
Referral Source: Self Community Corrections Family/Friend Social Service Agency Targeted Case Management Other Case Management Other  Have you applied for any of the public programs listed below? (Please check those you have applied for and the status of your referral) Has your application been Approved or Denied? If denied and you appealed, what is the date of appeal Have you applied for reconsideration. Have you had a hearing with an Administrative Law Judge and what was the date of the scheduled hearing:  Social Security SSDI Medicare  Weterans Medicaid DHS Food Assistance:  Unemployment FIP
Other Case Management       Other
(Please check those you have applied for and the status of your referral)       Has your application been Approved or Denied? If denied and you appealed, what is the date of appeal
(Please check those you have applied for and the status of your referral) Has your application been Approved or Denied? If denied and you appealed, what is the date of appeal
denied and you appealed, what is the date of appeal Have you applied for reconsideration Have you had a hearing with an Administrative Law Judge and what was the date of the scheduled hearing: Social Security SSDI Medicare DHS Food Assistance: Veterans Unemployment FIP
you had a hearing with an Administrative Law Judge and what was the date of the scheduled hearing:  Social Security  Medicare  DHS Food Assistance:  Veterans  Unemployment  FIP
Social Security SSDI Medicare DHS Food Assistance:  Veterans Unemployment FIP
SSI DHS Food Assistance:  Veterans Unemployment FIP
SSI DHS Food Assistance:  Veterans Unemployment FIP
Other
Disability Group/Primary Diagnosis: (If known)
Mental Illness Intellectual Disability Developmental Disability Substance Abuse Brain Injury
Specific Diagnosis determined by:
Date:
Axis I: Dx Code(s):
Axis II: Dx Code(s):
Why are you here today? What services do you <u>NEED</u> ? (this section <u>must</u> be completed as part of this application!)
I certify that the above information is true and complete to the best of my knowledge, and I authorize ECR staff to check for verification of
the information provided including verification with Iowa county government and the state of Iowa Department of Human Services (DHS)
and Iowa Department of Corrections or Community Corrections staff. I understand that the information gathered in this document is for
the use of the East Central Region in establishing my ability to pay for services requested, and in assuring the appropriateness of services
requested. I understand that information in this document will remain confidential.
Applicant's Signature (or Legal Guardian)  Date
Signature of other completing form if not Applicant or Legal Guardian  Date

# MH/DS OF THE EAST CENTRAL REGION ACKNOWLEDGMENT OF RECEIPT OF NOTICE OF PRIVACY PRACTICE

l,	, do hereby
acknowledge receipt of a copy of the Notice of Priva	acy Practice, Policy and Procedure.
Signature of Individual	Date
IN THE EVENT THIS REQUEST IS MADE BY THE	INDIVIDUAL'S PERSONAL REPRESENTATIVE
(guardian, power of attorney, etc.)	
Signature of personal representative	Date
Legal authority of personal representative	

#### MH/DS OF THE EAST CENTRAL REGION

#### PRIVACY PRACTICES NOTICE

# THIS NOTICE DESCRIBES HOW MEDICAL INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION.

# PLEASE REVIEW IT CAREFULLY. THE PRIVACY OF YOUR MEDICAL INFORMATION IS IMPORTANT TO US.

#### **Our Legal Duty**

We are required by applicable federal and state law to maintain the privacy of your protected health information. We are also required to give you this notice about our privacy practices, our legal duties, and your rights concerning your protected health information. We must follow the privacy practices that are described in this notice while it is in effect. This notice takes effect July 1, 2014, and will remain in effect until we replace it.

We reserve the right to change our privacy practices and the terms of this notice at any time, provided such changes are permitted by applicable law. We reserve the right to make the changes in our privacy practices and the new terms of our notice effective for all protected health information that we maintain, including protected health information we created or received before we made the changes. Before we make a significant change in our privacy practices, we will change this notice and send the new notice to our active clients at the time of the change.

You may request a copy of our notice at any time. For more information about our privacy practices, or for additional copies of this notice, please contact us using the information listed at the end of this notice.

#### Uses and Disclosures of Protected Health Information

We use and disclose protected health information about you for treatment, payment, and health care operations. For example:

**Treatment:** We may use or disclose your protected health information to a physician or other health care provider in order to provide treatment to you.

Payment: We may use or disclose your protected health information to pay claims from providers, hospitals, or for other services delivered to you that are covered by MHDS of the East Central Region, to determine your eligibility for services, to coordinate your services, to issue explanations of benefits and the like. We may disclose your information to a health care or service provider subject to the federal Privacy Rules so they can engage in billing/payment activity.

**Operations:** We may use and disclose your information in connection with our operations. Our operations include:

- rating our risk;
- quality assessment and improvement activities
- reviewing the competence or qualifications of mental health/disability services professionals, evaluating provider performance, conducting

- medical review, legal services, and auditing, including fraud and abuse detection and compliance;
- business planning and development; and
- business management and general administrative activities, including management activities relating to privacy, customer service, resolution of internal grievances, and creating de-identified information or a limited data set.

We may disclose your information to another entity which has a relationship with you and is subject to the federal Privacy Rules, for their operations relating to quality assessment and improvement activities, reviewing the competence or qualifications of health care and service professionals, or detecting or preventing fraud and abuse.

**On Your Authorization:** You may give us written authorization to use your protected health information or to disclose to anyone for any purpose. If you give us an authorization, you may revoke it in writing at any time. Your revocation will not affect any use or disclosures permitted by

your authorization while it was in effect. To the extent that we maintain or receive psychotherapy notes about you,

training programs, accreditation, certification, licensing or credentialing activities;

information for marketing purposes and disclosures that constitute a sale of protected health information, require your authorization. Unless you give us a written authorization, we will not use or disclose your protected health information for any reason except those described in this notice.

**To Your Family and Friends:** We may disclose your protected health information to a family member, friend or other person to the extent necessary to help with your services. We may use or disclose your name, location, and general condition or death to notify, or assist in the notification of (including identifying or locating), a person involved in your care.

Before we disclose your protected health information to a person involved in your care, services or payment for services, we will provide you with an opportunity to object to such uses or disclosures, If you are not present, or in the event of your incapacity or an emergency, we will disclose your protected health information based on our professional judgment of whether the disclosure would be in your best interest.

**Disaster Relief:** We may use or disclose your protected health information to a public or private entity authorized by law or by its charter to assist in disaster relief efforts.

most disclosures of these notes require your authorization. In addition, most uses and disclosures of protected health

**Public Benefit:** We may use or disclose your protected health information as authorized by law for the following purposes deemed to be in the public interest or benefit:

- as required by law;
- for public health activities, including disease and vital statistic reporting, child abuse reporting, FDA oversight, and to employers regarding workrelated illness or injury;
- to report adult abuse, neglect, or domestic violence;
- to health oversight agencies;
- in response to court and administrative orders and other lawful processes;
- to law enforcement officials pursuant to subpoenas and other lawful processes, concerning crime victims, suspicious deaths, crimes on our premises, reporting crimes in emergencies, and for purposes of identifying or locating a suspect or other person;
- to coroners, medical examiners, and funeral directors;
- to organ procurement organizations;
- to avert a serious threat to health or safety;
- in connection with certain research activities;
- to the military and to federal officials for lawful intelligence, counterintelligence, and national security activities;
- to correctional institutions regarding inmates; and
- as authorized by state worker's compensation laws.

#### **Individual Rights**

Access: You have the right to look at or get copies of your protected health information, with limited exceptions. You may request that we provide copies in a format other than photocopies. This may include an electronic copy in certain circumstances. We will use the format you request unless we cannot practicably do so. You must make a request in writing to obtain access to your protected health information. You may obtain a form to request access by using the contact information listed at the end of this notice. You may also request access by sending us a letter to the address at the end of this notice. If you request copies, we will charge you \$0.25 for each page, \$12.00 per hour for staff time to locate and copy your protected health information, and postage if you want the copies mailed to you. If you request an alternative format, we will charge a

listed at the end of this notice for a full explanation of our fee structure.

Disclosure Accounting: You have the right to receive a list of instances in which we or our business associates disclosed your protected health information for purposes other than treatment, payment, health care operations, as authorized by you, and for certain other activities, since April 14, 2003. We will provide you with the date on which we made the disclosure, the name of the person or entity to which we disclosed your protected health information, a description of the protected health information we disclosed, the reason for the disclosure, and certain other information. If you request this accounting more than once in a 12-month period, we may charge you a reasonable,

cost-based fee for providing your protected health information in that format. If you prefer, we will prepare a summary or an explanation of your protected health information for a fee. Contact us using the information

cost-based fee for responding to these additional requests. Contact us using the information listed at the end of this notice for a full explanation of our fee structure.

**Restriction:** You have the right to request that we place additional restrictions on our use or disclosure of your protected health information. We are not required to agree to these additional restrictions, but if we do, we will abide by our agreement (except in an emergency). Any agreement to additional restrictions must be in writing signed by a person authorized to make such an agreement on our behalf. We will not be bound unless our agreement is so memorialized in writing.

Confidential Communication: You have the right to request that we communicate with you about your protected health information by alternative means or to alternative locations. You must make your request in writing, and you must state that the information could endanger you if it is not communicated in confidence as you request. We must accommodate your request if it is reasonable, specifies the alternative means or locations and continues to allow us to conduct normal business operations.

Amendment: You have the right to request that we amend your protected health information. Your request must be in writing, and it must explain why the information should be amended. We may deny your request if we did not create the information you want amended and the originator remains available or for certain other reasons. If we deny your request, we will provide you a written explanation. You may respond with a statement of disagreement to be appended to the information you wanted amended. If we accept your request to amend the information, we will make reasonable efforts to inform others, including people you name, of the amendment and to include the changes in any future disclosures of that information.

**Electronic Notice:** If you receive this notice on our web site or by electronic mail (e-mail), you are entitled to receive this notice in written form. Please contact us using the information listed at the end of this notice to obtain this notice in written form.

**Breach Notification:** In the event of a breach of your unsecured protected health information, we will provide you notification of such a breach, as required by law.

#### **Questions and Complaints**

If you want more information about our privacy practices or have questions or concerns, please contact us using the information listed at the end of this notice.

If you are concerned that we may have violated your privacy rights or you disagree with a decision we made about access to your protected health information or in response to a request you made to amend or restrict the use or disclosure of your protected health information or to have us communicate with you by alternative means or at alternative locations, you

Privacy Officer: Jan Heidemann Email: <u>jheidemann@co.bremer.ia.us</u>

Telephone: (319) 352-2993 Fax: (319) 352-2997 Address: Bremer County Annex 203 1<sup>st</sup> Avenue NE Waverly, IA 50677

may complain to us using the contact information listed at the end of this notice. You also may submit a written complaint to the U.S. Department of Health and Human Services. We will provide you with the address to file your complaint with the U.S. Department of Health and Human Services upon request.

We support your right to the privacy of your protected health information. We will not retaliate in any way if you choose to file a complaint with us or with the U.S. Department of Health and Human Services.